

Patent Docket P2730P1C1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

<p>In re Application of</p> <p>Ashkenazi, et al.</p> <p>Serial No.: 09/941,992</p> <p>Filed: August 28, 2001</p> <p>For: <i>Secreted and Transmembrane Polypeptides and Nucleic Acids Encoding the Same</i></p>	<p>Group Art Unit: Not yet assigned</p> <p>Examiner: Not yet assigned</p>	<p><b>RECEIVED</b></p> <p>APR 03 2002</p> <p>TECH CENTER 1600/2900</p>
	<p><b>CERTIFICATE OF MAILING</b></p> <p>I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner of Patents, Washington, D.C. 20231 on</p> <p>November 16, 2001</p> <p><i>Teri Renshaw</i></p> <p>Teri Renshaw</p>	

**TRANSMITTAL LETTER**

Assistant Commissioner of Patents  
Washington, D.C. 20231

Sir:

Transmitted herewith are the following documents:

1. Preliminary Amendment;
2. Duly executed Combined Declaration for Patent Application and Power of Attorney, in 6 parts.

In the event any additional fees are due in connection with the filing of these documents, the Commissioner is authorized to charge such fees to our Deposit Account No. 07-0630.

Respectfully submitted,

GENENTECH, INC.

Date: November 16, 2001

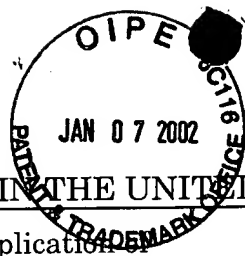
By:

*Elizabeth M. Barnes*  
Elizabeth M. Barnes, Ph.D.  
Reg. No. 35,059  
Telephone No. (650) 225-4563



09157

PATENT TRADEMARK OFFICE



1600 Sector #

Patent Docket P2730P1C1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of Avi Ashkenazi, et al. Serial No.: 09/941,992 Filed: August 28, 2001 For: <i>Secreted and Transmembrane Polypeptides and Nucleic Acids Encoding the Same</i>	Group Art Unit: Unknown Examiner: Unknown CONFIRMATION NO: 8312  <b>CERTIFICATE OF MAILING</b> I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner of Patents, Washington, D.C. 20231 on December 19, 2001 <i>Teri Renshaw</i> Teri Renshaw
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TECH CENTER 1600/2900

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION**

Box: MISSING PARTS  
Assistant Commissioner of Patents  
Washington, D.C. 20231

Sir:

This is responsive to the Notice to File Missing Parts - Filing Date Granted dated December 6, 2001. Transmitted herewith are the following documents:

1. A copy of the duly executed Declaration in 6 parts, copy of Preliminary Amendment, and postcard which was filed with the Patent Office by Certificate of Mailing on November 16, 2001.
2. Copy of Notice to File Missing Parts of Application.

Applicant believe that this communication is responsive to the Notice to File Missing Parts.

The Commissioner is hereby authorized to deduct the appropriate surcharge fee of \$130 associated with this communication or credit any overpayment to Deposit Account No. 07-0630. A duplicate of this sheet is enclosed.

Respectfully submitted,  
GENENTECH, INC.

By: *Elizabeth M. Barnes*  
Elizabeth M. Barnes, Ph.D.  
Reg. No. 35,059  
Telephone No. (650) 225-4563

Date: December 19, 2001



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PATENT TRADEMARK OFFICE

**In Re Application of:**

Serial No: 09/941,992

Filed on: August 28, 2001

Mailed on: November 16, 2001

Docket No: P2730P1C1

By: Elizabeth M. Barnes

Reg. No.: 35,059



The following has been received by the U.S. Patent Office on the date stamped:

- Transmittal letter;
- Preliminary Amendment;
- Duly executed Combined Declaration for Patent Application and Power of Attorney, in 6 parts; and
- post card.



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/941,992	08/28/2001	Avi J. Ashkenazi	P2730PIC1

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GENENTECH, INC.  
1 DNA WAY  
SOUTH SAN FRANCISCO, CA 94080

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CONFIRMATION NO. 8312

FORMALITIES LETTER



\*OC000000007161560\*

Date Mailed: 12/06/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

*A copy of this notice MUST be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY

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